

Practitioner's Docket No. SST/1035

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: William F. Leek

Application No.: 09/729,491

Group No.: 3637

Filed: December 3, 2000

Examiner: Tran A, P.

For: Automatic Take-up Device with Internal Spring

By Facsimile to 703-872-9327

Confirming Copy By Express Mail

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT AFTER  
MAILING DATE OF FINAL ACTION, NOTICE OF ALLOWANCE  
OR ACTION THAT CLOSES PROSECUTION BUT BEFORE  
PAYMENT OF ISSUE FEE (37 C.F.R. § 1.97(d))

FAX RECEIVED

AUG 14 2003

GROUP 3600

## CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\*

(When using Express Mail, the Express Mail label number is mandatory;  
Express Mail certification is optional.)

Official

I hereby certify that, on the date shown below, this correspondence is being:

## MAILING

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37 C.F.R. § 1.8(a)

G with sufficient postage as first class mail.

37 C.F.R. § 1.10\*

[X] as "Express Mail Post Office to Addressee"  
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## TRANSMISSION

[X] facsimile transmitted to the Patent and Trademark Office, (703) 872 - 9327.

Signature

Date: August 12, 2003

Charles R Cypher  
(type or print name of person certifying)

\* Only the date of filing (' 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under ' 1.8 continues to be taken into account in determining timeliness. See ' 1.703(f). Consider "Express Mail Post Office to Addressee" (' 1.10) or facsimile transmission (' 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

Transmittal of Information Disclosure Statement After Mailing Date of Final Action, Notice of Allowance or Action that Closes Prosecution But Before Payment of Issue Fee (37 C.F.R. § 1.97(d))—page 1 of 2

**TIME OF TRANSMITTAL OF ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

1. The information disclosure statement transmitted herewith is being filed *after* a final action under § 1.113, or a notice of allowance under § 1.311, whichever occurs first, but before, or simultaneously with, the payment of the issue fee.

**STATEMENT AND FEE**

2. In accordance with the requirements of 37 C.F.R. § 1.97(d):
- A. Accompanying this transmittal is a statement, as specified in 37 C.F.R. § 1.97(c).
  - B. Applicant submits the fee set forth in § 1.17(p) (\$180.00).

**FEE DUE**

3. Fee due (§ 1.17(p)): \$180.00

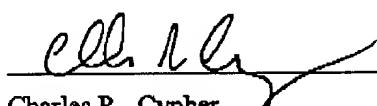
**METHOD OF PAYMENT OF FEE**

4. Attached is a check no. 09824 in the amount of \$180.00.

Charge any additional fees required by this paper or credit any overpayment to deposit account no. 03-4075.

A duplicate of this paper is attached.

Date: Aug 12, 2003

  
Charles R. Cypher  
Registration No. 41694  
Law Offices of James R. Cypher  
405 14th Street  
Suite 1607  
Oakland, Ca 94568  
510-832-4111  
Customer No. 0498

Practitioner's Docket No. SST/1035

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PAYMENT OF ISSUE FEE (37 C.F.R. § 1.97(d))

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- A. Accompanying this transmittal is a statement, as specified in 37 C.F.R. § 1.97(e).
  - B. Applicant submits the fee set forth in § 1.17(p) (\$180.00).

**FEE DUE**

3. Fee due (§ 1.17(p)): \$180.00

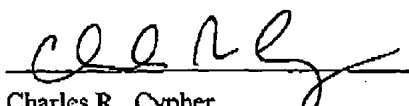
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STATEMENT FOR INFORMATION DISCLOSURE  
UNDER 37 C.F.R. § 1.97(e)IDENTIFICATION OF INFORMATION DISCLOSURE STATEMENT  
FOR WHICH THIS STATEMENT IS BEING MADE

1. This statement is being made for the Information Disclosure Statement accompanying this statement.

## CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\*

(When using Express Mail, the Express Mail label number is mandatory;  
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

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37 C.F.R. § 1.8(a)

[ ] with sufficient postage as first class mail.

37 C.F.R. § 1.10\*

[X] as "Express Mail Post Office to Addressee"  
Mailing Label No. EV323268120 (mandatory)

## TRANSMISSION

[X] facsimile transmitted to the Patent and Trademark Office, (703) 872-9327

Date: August 12, 2003

Signature

Charles R. Cypher

(type or print name of person certifying)

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Statement for Information Disclosure Under 37 C.F.R. §§ 1.97(e)—page 1 of 2

**STATEMENT**

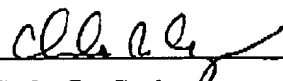
2. I, the person signing below, state that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge, after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement. 37 C.F.R. § 1.97(c)(2).

**IDENTIFICATION OF PERSON MAKING THIS STATEMENT**

3. The person making this certification is:

(a) the practitioner who signs below on the basis of the information in the practitioner's file.

Date: August 12, 2003

  
\_\_\_\_\_  
Charles R. Cypher  
Registration No. 41694  
Law Offices of James R. Cypher  
405 14th Street  
Suite 1607  
Oakland, Ca 94568  
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Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

## List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this Information Disclosure Statement:

1. Preliminary Statements
2. Forms PTO/SB/08A and 08B (formerly Form PTO-1449)
3. Copies of Listed Information Items Accompanying This Statement
4. Identification of Person(s) Making This Information Disclosure Statement

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CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\*

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Charles R. Cypher

(type or print name of person certifying)

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Information Disclosure Statement--page 1 of 6

**Section 1. Preliminary statements**

Applicants submit herewith patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. § 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.



**Section 2. Forms PTO/SB/08A and 08B (formerly Form PTO-1449)**

(Insert form(s) PTO/SB/08A [Form 6-2] and/or form(s) PTO/SB/08B [Form 6-2.1].)

PTO/SB/08a (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

1

of

1

**Complete if Known**

Application Number	09/729,491
Filing Date	12/03/2000
First Named Inventor	William F. Leek
Art Unit	3637
Examiner Name	Tran A. P.
Attorney Docket Number	SST/1035

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 6,585,469	07/01/2003	Commins, A.	
		US-			
		US-			
		US-			
		US-			
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete. Including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

4 ca  
page 8 of 6


**Section 3. Copies of Listed Information Items Accompanying This Statement**

Legible copies of all items listed in Forms PTO/SB/08A and 08B (substitute for Form PTO-1449) accompany this information statement.

The person making this certification is:

- a. the practitioner who signs below on the basis of the information in the practitioner's file.

Date: August 12, 2003

  
Charles R. Cypher  
Registration No. 41694  
Law Offices of James R. Cypher  
405 14th Street  
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